INFORMATION DISCLOSURE CITATION IN AN APPLICATION (PTO-1449)

ATTY. DOCKET NO.
15437-0602

APPLICANT:
Ozgur C. Leonard, et al.

FILING DATE:

GROUP:

NYA

TEM	TATRA			U.S. PATENT DOCUMENTS		*
Exam. Initial*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document	Pages, Columns, Lines, Where
		Number	Kind Code ² (If known)		MM-DD-YYYY	Relevant Passages or Relevant Figures Appear
/EW/		6,074,427		Fought et al.	6/13/2000	
	•			,		
•						
-						†
į						
						
			† 			
			Ī			

1/29/2004

Publication of Cited Document	Pages, Columns, Lines, Where	1
MM-DD- YYYY	Relevant Passages or Relevant Figures Appear	
	•	
_		YYYY Relevant Figures Appear

Examiner	IT A NATION	Date Considered	
	/Eric Wai/	Date Considered	1 . 40/04/0002
Signature	, , , , , , , , , , , , , , , , , , , ,	l l	10/24/2007
- 8			

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ²Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

		n 1449A/PTO (Modified) heets as necessary)	Attorney Docket No.: 15437-0602	Application No. 10/768,303	umber:		
			First Named Inventor: Ozgur C. Leonard, et al. Filing Date: 1/29/2004				
·		OTHER ART - NO PA	TENT LITERATURE DOCUMENT	`S			
Examiner Initials*	Cite No ¹	item (book, magazine, journal, serial, s	AL LETTERS), title of the article (when appymposium, catalog, etc.), date, page(s), volucity and/or country where published		Translation ²		
/EW/		Operating System Approach to Secur ACM Copyright Notice, © 2001, (8)		se Huong Choo,			
/EW/		Sun Microsystems, Inc. entitled "Server Virtualization with Trusted Solaris TM 8 Operating Environment, by Glenn Faden, Sun BluePrints TM OnLine – February 2002, http://www.sun.com/blueprints , (21 pgs).					
/EW/		Network Working Group entitled "IP Version 6 Addressing Architecture", by R. hinden, Nokia, S. Deering, Cisco System, dtd July 1998, (28 pgs).					
/EW/ ₁		IBM entitled "Partitioning for the IB 2001 (12 pgs).	M eserver pSeries 690 System, © Copy	right IBM Corp.			
/EW/			erver xSeries Servers entitled "Effective ment with System Partitioning" by Mar 201, (23 pgs).				
/EW/	-	Virtual Private Servers and Security		s).			
/EW/		SunSoft, a Sun Microsystems, Inc. B Microsystems, Inc., (62 pgs).	usiness entitled "File System Administr	ration", © 1994 Sun			
/EW/		Jails: Confining the omnipotent root. entitled "The FreeBSD Project", http	, by Poul-Henning Kamp and Robert N :://www.servetheweb.com/, (15 pgs).	.M. Watson,			

Examiner	/Eric Wai/	Date Considered	10/24/2007
Signature		1	10/24/2007
•			

^{*}Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

¹Unique citation designation number. ²Applicant is to place a check mark here if English language Translation is attached.

INF	ORM	IN AN AI	SCLOSURE PPLICATIO O-1449)	ATTY. DOCKET NO. 15437-0602 APPLICANT: Ozgur C. Leonard, et al.				
	E	HOV 2 9 2004 3			FILING DATE January 29, 2):	GR 218	OUP: 36
	16	To manual the State of the Stat		U.S. PATENT DOCI	JMENTS		•	
Exam. Initial*	Cite No. ¹	Number	Kind Code ² (If known)	Name of Patente of Cited D		Date of Publicatic Cited Documer MM-DD-YYY	nt	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
/EW/		5,325,526		Camero	n, et al.	6/28/1994	4	
/EW/		5,845,116		Saito,	et al.	12/1/1998	3	
/EW/		5,437,032		Wolf,	et al.	6/25/1995	5	

	FOREIGN PATENT DOCUMENTS										
Exam. Initial*	Cite No.1	Fo Office ³	reign Patent C Number ⁴	Nind Code ³ (If known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶			
/EW/		EP	128203	8 A2	Tanaka, Katsuyoshi	2/5/2003					
/EW/		EP	104365	8 A1	Rogier, Pierre	10/11/2000					

OTHER ART – NO PATENT LITERATURE DOCUMENTS						
Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation ²			
/EW/		European Search Report from the European Patent Office for Foreign Patent Application No. 04252690.5 (3 pgs.)				
/EW/		Claims As Filed in European Patent Application No. 04252690.5 (6 pgs.)				

Examiner	(i= a) = NN(a) (Date Considered	. !
Signature	/Eric Wai/	1 10/	2//2007
Signature		I IVI	24/2001

[•] EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

ATTY. DOCKET NO. SERIAL NO. INFORMATION DISCLOSURE CITATION 15437-0602 10/768,303 IN AN APPLICATION APPLICANT: (PTO-1449) Ozgur C. Leonard et al. FILING DATE: GROUP: January 29, 2004 2186 **U.S. PATENT DOCUMENTS** Exam. Cite U.S. Patent Document Name of Patentee or Applicant Date of Publication of Pages, Columns, No.1 of Cited Document Cited Document Lines, Where Initial* Number Kind Code² MM-DD-YYYY Relevant (If known) Passages or Relevant Figures Appear

Exam.	Cite No.1	Foreign Patent Document			EIGN PATENT DOCUMENTS Name of Patentee or Applicant	Date of	Pages,	т6
Initial*		Office ³	Number ⁴	Kind Code ^s (If known)	of Cited Document	Publication of Cited Document MM-DD-YYYY	Columns, Lines, Where Relevant Passages or Relevant Figures Appear	1

OTHER ART – NO PATENT LITERATURE DOCUMENTS						
Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation ²			
/EW/		Overview of Bandwidth Broker System [online], [retrieved on January 31, 2005]. Retrieved from the internet: <url: <a="" href="http://www.ittc.ku.edu/~kdrao/845/into.html">http://www.ittc.ku.edu/~kdrao/845/into.html, (19 pgs).</url:>				
/EW/		QBone Signaling Design Team Final Report [online], [retrieved on January 31, 2005]. Retrieved from the internet: <url: <a="" href="http://qos.internet2.edu/wg/documents-informational/20020709-chimento-etal-qbone-signaling.">http://qos.internet2.edu/wg/documents-informational/20020709-chimento-etal-qbone-signaling., (33 pgs).</url:>				

Examiner Signature	/Eric Wai/	Date Considered	10/24/2007

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

INFORMATION DISCLOSURE CITATION ATTY. DOCKET NO. SERIAL NO. 15437-0602 10/768,303 IN AN APPLICATION APPLICANT: (PTO-1449) Ozgur C. Leonard, et al. SEP 0 6 2005 FILING DATE: GROUP: FRADEMA January 29, 2004 2186 U.S. PATENT DOCUMENTS U.S. Patent Document Name of Patentee or Applicant Date of Publication of Pages, Columns, Exam. Initial* No.1 of Cited Document Cited Document Lines, Where Number Kind Code² MM-DD-YYYY Relevant (If known) Passages or Relevant Figures Appear

Exam.	Cite	Fo	oreign Patent I	Document	Name of Patentee or Applicant	Date of	Pages,	т
Initial*	No. ¹	Office ³	Number ⁴	Kind Code ⁵ (If known)	of Cited Document	Publication of Cited Document MM-DD-YYYY	Columns, Lines, Where Relevant Passages or Relevant Figures Appear	•
							Арре	аг

		·	
Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation ²
/EW/		Official Action from EPO for foreign patent application no. 04 252 690.5 - 2211 dated 10 June 2005 (6 pgs) - attached.	
/EW/		Current Claims in EPO patent application no. 04 252 690.5 - 2211 (9 pgs) - attached.	

Examiner Date Considered	
Date Considered	10/04/000
Signature /Eric Wai/	10/24/2007

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

INFORMATION DISCLOSURE CITATION OF EN AN APPLICATION (PTO-1449)					ATTY. DOCKET NO. 15437-0602 APPLICANT: Ozgur C. Leonard et al.			
					January 29, 2004		GROU 2186	P:
	ENT.&			<u>U.S. PATENT DOCU</u>	MENTS			
Exam. Initial*	Cite No.¹		Kind Code ² (If known)	Name of Patentee of Cited Do		Date of Publication Cited Documen MM-DD-YYYY	t ′	ages, Columns, Lines, Where Relevant Passages or televant Figures Appear
/EW/		2002/0156824	A1	Armstron	g et al.	10-24-2002	2	

FOREIGN PATENT DOCUMENTS									
Exam.	Cite No.1	Foreign Patent Document			Name of Patentee or Applicant	Date of	Pages,	ד	
Initial*		Office ³	Number ⁴	Kind Code ⁵ (If known)	of Cited Document	Publication of Cited Document MM-DD-YYYY	Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
/EW/		EP	130076	6 A	Sun Microsystems Inc.	04-09-2003			

	OTHER ART – NO PATENT LITERATURE DOCUMENTS							
Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation ²					
/EW/		EUROPEAN PATENT OFFICE, "European Search Report," application No. 04252689.7, mailing date July 28, 2005, 3 pages.						
/EW/		Current Claims, European patent application 04252689.7, 6 pages.						
/EW/		SUN MICROSYSTEMS, "Sun EnterpriseTM 1000 Server: Dynamic System Domains," White Paper Online, February 26, 2003, retrieved from the internet at http://www.sun.com/servers/highend/whitepapers/domains.html?facet=-1 , retrieved on 06/21/2005, XP-002332946, 7 pages.						

Examiner	/Eric Wai/	Date Considered	10/24/2007
Signature			10/24/2007

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

| 100mm | 1

INFORMATION DISCLOSURE SIF	NOTEA
IN AN APPLICATION	To

(PTO-1449)



ATTY. DOCKET NO. 15437-0602

SERIAL NO. 10/768,303

APPLICANT:

Ozgur C. Leonard, et al.

FILING DATE: January 29, 2004 **GROUP:** 2186

			Ù.	S. PATENT DOCUMENTS		
Exam. Initial*	Cite No. ¹	U.S. Pa	tent Document	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (If known)		MM-DD-YYYY	
		ĺ				

Exam.	Cite	Foreign Patent Document			REIGN PATENT DOCUMENTS Name of Patentee or Applicant	Date of	Pages,	Т
Initial*	No. ¹	Office ³	Number ⁴	Kind Code ⁵ (If known)	of Cited Document	Publication of Cited Document MM-DD-YYYY	Columns, Lines, Where Relevant Passages or Relevant Figures Appear	

OTHER ART – NO PATENT LITERATURE DOCUMENTS							
Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation				
/EW/		Official Action from EPO for foreign patent application no. 04 252 690.5 - 2211 dated 23 November 2005 (5 pgs) – attached.					
/EW/		Current Claims in EPO patent application no. 04 252 690.5 - 2211 (pgs) – attached.					

Examiner	/Eric Wai/	Date Considered	10/24/2007
Signature	/Elic vvai/		10/24/2007

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

OIP	E	488	IN AN APPI	LICATIO	E CITATION ON	ATTY. DOCK 15437-0602 APPLICANT: Ozgur C. Leo		1	AL NO 58,303	•
APR	271	006	<u> </u>			FILING DATE January 29, 2	Z:	GROU 2186	P:	
Exam.	TOAFIE	31)			U.S. PATENT DOCL	IMENTS				•
Exam. Initial*	Cite No.	i	U.S. Patent Doc			ee or Applicant Date of Pu		;	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
/EW/		1 2	2002/0161817	Al	Dorofee	v et al.	10-31-2002	2		
•				17.17						
	<u> </u>		Francisco Danas D		DREIGN PATENT DO		Date of	l Da	ges,	Т6
Exam. Initial*	Cit No.	i -	Foreign Patent Di	Foreign Patent Document By Name of Patentee or Application of Cited Document (If known) Name of Patentee or Application of Cited Document		• •	Publication of Cited Document MM-DD-YYYY	Coll Lines Rel Passa Rel Fig	ges, umns, Where evant ages or evant gures opear	Ţ,
Examir Initials		Cite No ¹	Include name	of the author (- NO PATENT LITEI in CAPITAL LETTERS). al. serial, symposium, cata publisher, city and/or coun	title of the article (v log, etc.), date, page	when appropriate), title o		Transla	tion ²

Examiner /Eric Wai/ Date Considered 10/24/2007				V
	Examiner	/Eric Wail	Date Considered	10/24/2007
Signature	Signature			

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609: Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

^{&#}x27;Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

INFORMATION DISCLOSURE CITATION IN AN APPLICATION (PTO-1449)		ATTY. DOCKET NO. SERIAL N 15437-0602 10/768,30					
		· · · · · · · · · · · · · · · · · · ·	APPLICANT: Ozgur C. Leonard, et al.				
انتم	78 SOOR	£		FILING DATE: 1/29/2004		GROUP: 2186	
March.	JAN BAR	ÿ.	U.S. PATENT DOCI	UMENTS			
Exam. Initial*	Cite No. ¹	U.S. Patent Document Number Kind Code ² (If known)	Name of Patente of Cited D	e or Applicant	Date of Publication of Cited Document MM-DD-YYYY	Pages, Co Lines, W Releve Passage Relevant I Appe	There ant so or Figures
			· · · · · · · · · · · · · · · · · · ·		*	- 	·
							
	٠	FC	REIGN PATENT DO	OCUMENTS			
Exam. Initial*	Cite No. 1	Foreign Patent Document Office ³ Number ⁴ Kind Code ³ (If known)		ntee or Applicant Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or	T ⁶

	γ	OTHER ART - NO PATENT LITERATURE DOCUMENTS	
Examiner Initials* Cite No ¹ Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published		Translation	
/EW/		Official Action from EPO for foreign patent application no. 04252689.7 dated 4 July 2006 (6 pgs) – attached.	
/EW/		Current Claims in EPO patent application no. 04252689.7 (pgs) – attached.	

Relevant Figures Appear

~				
ı	Examiner	<u> </u>	Date Considered	40/04/0007
I	Signature	/Eric Wai/		10/24/2007
	8			

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

PTO/SB/08a (07-05)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Sheet

Substitute for formal 449A/PTC DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known **Application Number**

10/768,303

Filing Date First Named Inventor January 29, 2004 Ozgur C. Leonard

Art Unit

2186

Examiner Name

NYA

Attorney Docket Number

15437-0602

			U.S.PATENT DO	CUMENTS	
xaminer nitials*	Cite No.1	Document Number Number-Kind Code ^{X (Y Krown})	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
EW/		US-2002/0120660 A1	08-29-2002	Hay et al.	
		US-			
		US-			
		US-	,		
		US-			
		US-			
	1	US-			
*****		US-			
		US-		_	
		US-			
	1-	US-			
		US-			
	1	US-			
		US		·	
		US-			

FOREIGN PATENT DOCUMENTS						
Cite No.¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures	T⁵	
1	Country Code ³ Number ⁴ Kind Code ⁵ (if known)		<u>''</u>	Appear	·	
Ι						
Ť						
†						
†	, , , , , , , , , , , , , , , , , , ,					
		No.1 Foreign Patent Document	Cite No.1 Foreign Patent Document Publication Date MM-DD-YYYY	Cite No.1 Foreign Patent Document Publication Date Name of Patentee or Applicant of Cited Document	Cite No.1 Foreign Patent Document Publication Date Name of Patentee or Applicant of Cited Document Or Relevant Passages MM-DD-YYYY Applicant of Cited Document Or Relevant Figures	

			······································
Examiner	/Eric Wai/	Date	10/24/2007
Signature	/Lite vvai/	Considered	10/24/2007

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

15437-0602

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Attorney Docket Number

Substitute for form 1449A/PTO
INFORMATION DISCLOSURE
STATEMENT BY APPLICANT
(Use as many sheets as necessary)

Complete if Known

Application Number 10/768,303

Filing Date January 29, 2004

First Named Inventor Ozgur Leonard

Art Unit 2195

Examiner Name Wai, Eric Charles

Sheet

U.S.PATENT DOCUMENTS Publication Date Name of Patentee or Pages, Columns, Lines, Where Examiner Cite Document Number MM-DD-YYYY Applicant of Cited Document Relevant Passages or Relevant nitials* Number-Kind Code² (If known) Figures Appear 5,590,314 Ueno et al. /EW/ 12-31-1996 01-20-2004 /EW/ 6,681,238 B1 Brice et al. 6,944,699 B1 /EW 09-13-2005 Bugnion et al.

	FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ Number ⁴ Kind Code ⁹ (# known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Τ ⁶	
	ļ						
}							

Examiner Signature	/Eric Wai/	Date Considered	10/24/2007

SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. SUN040111-US-NP

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.espto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

15437-0602

respond to a collection of information unless it contains a valid OMB control number Complete if Known Substitute for form 1449B/PTO 10/768,303 Application Number INFORMATION DISCLOSURE Filing Date January 29, 2004 STATEMENT BY APPLICANT First Named Inventor Ozgur Leonard Art Unit 2195 (Use as many sheets as necessary) Wai, Eric Charles Examiner Name

Attorney Docket Number

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
/EW/		HEWLETT-PACKARD, "Installing and Managing HP-UX Virtual Partitions (vPars)", Third Edition, Part Number T1335-90018, Copyright Hewlett-Packard Company, November 2002, pages 1-4, 17-44, 72-75, and 157-161.	
/EW/		HOPE, PACO, "Using Jails in FreeBSD for Fun and Profit", ;Login: The Magazine of Usenix and Sage, Volume 27, Number 3, June 2002, 9 pages.	
/EW/		KAMP, POUL-HENNING, "Rethinking / dev and devices in the UNIX kernel", BSDCon 2002 Paper, retrieved from website http://www.usenix.org/events/bsdcon02/full_papers/kamp/kamp_html/index.html Printed May 1, 2007, 18 pages.	-
/EW/		THOMPSON, K., "UNIX Implementation", Bell Laboratories, The Bell System Technical Journal, 1978, pages 1-9.	
·			
,			

Examiner Signature /Eric Wai/	Date Considered 10/24/2007
-------------------------------	----------------------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Customer Ref. No. SUN040111-US-NP

Sheet

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO
INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet

Complete if Known			
Application Number	10/768,303		
Filing Date	January 29, 2004		
First Named Inventor	Ozgur Leonard		
Art Unit	2195		
Examiner Name	Wai, Eric Charles		
Attorney Docket Number	15437-0602		

		U.S.PATENT DO	CUMENTS	
Examiner Ci nitials* No		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
/EW/	5,155,809	10-13-1992	Baker et al.	
/EW/	5,283,868	02-01-1994	Baker et al.	
/EW/	5,291,597	03-01-1994	Shorter et al.	
/EW/	5,325,517	06-28-1994	Baker et al.	
/EW/	6,738,832 B2	05-18-2004	Burr et al.	
/EW/	6,813,766 B2	11-02-2004	Hay	
/EW/	6,961,941 B1	11-01-2005	Nelson et al.	
/EW/	7,188,120 B1	03-06-2007	Leonard et al.	
/EW/	2002/0069369 A1	06-16-2002	Tremain	
		· · · · · · · · · · · · · · · · · · ·		
	·	***************************************		
			·	
				,
			ļ	
	1		i	

	FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ² Number ⁴ Kind Code ⁵ (<i>it known</i>)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Т6
	ļ					
	ļ		·			

Examiner	/Eric Wai/	Date	10/24/2007	
Signature	/Eric Wai/	Considered	10/24/2007	

SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. SUN040111-US-NP

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.isspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

Sheet

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known				
Application Number	10/768,303			
Filing Date	January 29, 2004			
First Named Inventor	Ozgur Leonard			
Art Unit	2195			
Examiner Name	NYA			
Attorney Docket Number	15427 0602			

	U.S.PATENT DOCUMENTS				
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ^{2 (I known})	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
/EW/		5,590,314	12-31-1996	Ueno et al.	
/EW/		5,841,869	11-24-1998	Merkling et al.	
/EW/		6,064,811	05-16-2000	Spilo et al.	
/EW/		6,289,462 B1	09-11-1998	McNabb et al.	
/EW/		6,557,168 B1	04-29-2003	Czajkowski	
/EW/		6,681,238 B1	01-20-2004	Brice et al.	
/EW/		6,701,460 B1	03-02-2004	Suwandi et al.	
/EW/		6,944,699 B1	09-13-2005	Bugnion et al.	
/EW/		7,051,340 B2	05-23-2006	Fisher et al.	
/EW/		2002/0174215 A1	11-21-2002	Schaefer	
/EW/		2003/0014466 A1	01-16-2003	. Berger et al.	
/EW/		2004/0215848 A1	10-28-2004	Craddock et al.	
<u> </u>	ļ				
ļ	1				
-					-

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.¹	Foreign Patent Document Country Code ³ Number ⁴ Kind Code ⁵ (# known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	⊤ ⁶
JEWL		EP 389151 A2	09-26-1990	IBM	·	
	 					

Examiner	/Frie Mai/	•	Date	10/24/2007
Signature	/Eric Wai/		Considered	10/24/2007

SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered, include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

15437-0602

collection of information unless it contains a valid OMB control number. Complete if Known Substitute for form 1449B/PTO Application Number 10/768,303 INFORMATION DISCLOSURE Filing Date . January 29, 2004 STATEMENT BY APPLICANT First Named Inventor Ozgur Leonard Art Unit 2195 (Use as many sheets as necessary) Examiner Name NYA

Attorney Docket Number

,		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
/EW/		CZAJKOWSKI, G., "Application isolation in the Java Virtual Machine", 2000, ACM Press, Proceedings of the 15 th ACM SIGPLAN Conference on Object-Oriented Programming, Systems, Languages, and Applications, pp. 354-366.	
/EW/		CZAJKOWSKI, G., "Multitasking without compromise: a virtual machine evolution", ACM Press, Proceedings of the 16 th ACM SIGPLAN Conference on Object Oriented Programming, Systems, Languages, and Applications, dated October 2001, pp. 125-138.	
/EW/		OSMAN, S., ET AL., "The design and implementation of Zap: a system for migrating computing environments", SIGOPS Operating System, Rev. 36, SI, dated December 2000, pp: 361-376.	·
/EW/		PRESOTTO ET AL., "Interprocess Communication in the Ninth Edition Unix System", John Wiley & Sons, Ltd., dated March 1990, 4 pages.	
/EW/		STEVENS, "Advanced programming in the Unix Environment", Addison-Wesley, 1993, pages 427-436.	
/EW/		WATSON, "TrustedBSD - Adding Trusted Operating System Features to FreeBSD", The USENIX Association, 2001, 14 pages.	
/EW/		NOORDENDE ET AL., "Secure and Portable Confinement of Untrusted Programs", ACM, 2002, 14 pages.	
/EW/		HOPE, "Using Jails in FreeBSD for fun and profit", ;Login: The Magazine of USENIX &SAGE, volume 27, number 3, dated June 2002, pages 48-55.	
		A contract of the contract of	

 xaminer Signature	/Eric Wai/	Date Considered	10/24/2007

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Customer Ref. No. SUN040111-US-NP

Sheet

copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Attorney Docket No. 15437-0602



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

· · · · · · · · · · · · · · · · · · ·) Confirmation No.: 6314
Ozgur C. Leonard, et al.)
•) Examiner: NYA
Serial No.: 10/768,303)
) Group Art Unit No.: 2186
Filed on: January 29, 2004)

For: MECHANISM FOR ASSOCIATING RESOURCE POOLS WITH OPERATING

SYSTEM PARTITIONS

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

DISCLOSURE PURSUANT TO 37 CFR §1.56 OF RELATED APPLICATION(S)

Sir:

Applicant(s) would like to bring to the Examiner's attention that the following

applications,			
<u>\</u>	U.S. Application/ Pat. No.	File Date	Atty. Docket. No.
	10/833,474	4/27/2004	15437-0582
Not Considered	10/767,118	1/28/2004	15437-0583
	10/767,117	1/28/2004	15437-0584
applicant need to put in the 'related app' section of the spec in order for examiner to Consider	10/766,094	1/27/2004	15437-0586
Det in the "related app"	10/744,360	12/22/2003	15437-0588
entin at the Spec	10/763,147	1/21/2004	15437-0589
secur of the	10/769,415	1/30/2004	15437-0591
in order for	10/761,622	1/20/2004	15437-0592
examiner to Consider	10/767,003	1/28/2004	15437-0593
	10/762,067	1/20/2004	15437-0597
19/1/07 EW	10/762,666	1/20/20Q4	15437-0598
- 100/	10/7,67,235	1/28/2004	15437-0599
EW	19/771,827	2/3/2004	N\$437-0600
·	10/771,698	2/3/2004	15437-0601